

US PATENT & TRADEMARK
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2008 OCT -6 PM 3:10

PATENT
Attorney Docket No. 10850.0001-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Tara Kimbrell COLE)	Group Art Unit: 3693
)	
Application No.: 10/052,419)	Examiner: Samuel Weis
)	
Filed: January 18, 2002)	
)	
For: FORFAITING TRANSACTIONS)	Confirmation No.: 5334
)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

REQUEST FOR REFUND

On September 2, 2008, Applicant petitioned for a two month extension of time to reply to the Office Action of April 2, 2008. The two month extension fee of \$460.00 was enclosed. Applicant claimed small entity status under 37 C.F.R. § 1.27 when the application was originally filed and requests a refund of \$230.00 based on a small entity reduction for the extension of time fee paid on September 2, 2008.

Enclosed is a copy of the Transmittal Letter, filed on January 18, 2002, which includes Applicant's claim for small entity status. Applicant requests a refund pursuant to 37 C.F.R. § 1.26.

Please issue the refund to Deposit Account No. 06-0916 of the undersigned firm
of attorneys and indicate our case reference No. 10850.0001-00000.

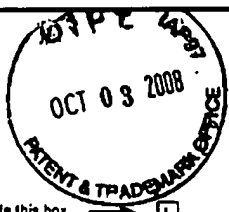
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 3, 2008

By: 

C. Gregory Gramenopoulos
Reg. No. 36,532



1-23-02

COPY A

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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10/052419
01/18/02

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	GTF-1
First Inventor	Tara Kimbrell Cole
Title	FORFAITING TRANSACTIONS
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
See 37 CFR 1.27.
- ☒ Applicant claims small entity status.
- ☒ Specification (Total Pages **75**)
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets **5**)
- ☒ Oath or Declaration (Total Pages **80**)
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed) **to follow**
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: **Form PTO-2038**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. _____
Prior application information.		Examiner _____	Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the application, and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure is omitted from the submitted application parts.

ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> Correspondence address below		
PATENT TRADEMARK OFFICE			
Name	BIERMAN, MUSERLIAN AND LUCAS		
Address	600 Third Avenue		
City	New York	State	NY
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		Fax	212-661-8002

Name (Print/Type)	Donald C. Lucas	Registration No. (Attorney/Agent)	31,275
Signature	<i>Donald C. Lucas</i>	Date	1-18-02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PETITION FOR EXTENSION OF TIME

Applicant petitions for a two-month extension of time to file a reply to the Office

Action of April 2, 2008. A fee of \$460.00 is enclosed.

Please grant any additional extensions of time required to enter the attached
reply and charge any additional required fees to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 

C. Gregory Gramenopoulos
Reg. No. 36,532

Dated: September 2, 2008

Adjustment date: 10/07/2008 HDESTA1
09/04/2008 AWONDAFI 00000008 10052419
01 FC:1252 -460.00 OP

10/07/2008 HDESTA1 00000055 10052419
01 FC:2252 230.00 OP

Repln. Ref: 10/07/2008 HDESTA1 0010531000
DAH:060916 Name/Number:10052419
FC: 9204 \$230.00 CR

09/04/2008 AWONDAFI 00000008 10052419
01 FC:1252 460.00 OP